Before our first meeting

A checklist of documents to bring

Ralph Wright
Sr Vice President – Wealth Mgmt
Senior Portfolio Manager

Gregory Butler
Sr Vice President – Wealth Mgmt
Senior Portfolio Manager

Susan Rand
Senior Client Associate

Brandy Goldman
Client Associate

In preparation for our first meeting, we ask that you spend time gathering all of your financial-related documents, including:

- ☐ Prior year's 1040
- ☐ Options schedule and restricted holdings
- ☐ 401(k), IRA and Roth statements
- ☐ Life insurance policies
- ☐ Long-term care policies
- ☐ Personal insurance policies
  (i.e., auto, boat, home owners, personal liability)
- ☐ Complete listing of financial holdings
  (copies of statements preferred)
- ☐ Other investments and business interests
- ☐ Real estate holdings and mortgages
- ☐ 529 plans and UGMA accounts
- ☐ Wills, trusts, partnerships and ILITs

After our initial consultation and the accumulation of the necessary financial information, we will be able to recommend specific strategies that are suitable for your current situation, goals and feelings. We look forward to providing you with the kind of advice and ongoing service that you have the right to expect.

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