

# Corporate solutions for ESOP companies

## Retirement plan consulting services



### Pursuing the results that matter: Our plan management road map

**Fiduciary governance**  
To help you manage your **fiduciary responsibilities**


**Plan health**  
Applying **new strategies** to **optimize** your plan

**Financial wellness**  
To help participants make more **informed** financial decisions

You offer a retirement plan to help employees prepare for their future, but being a plan sponsor is more challenging than ever before. Leadership teams are pressed to fulfill their fiduciary responsibilities, manage fees and expenses, and ensure their plans offer the right features. In addition, they must devote considerable time to keeping up with changing regulations and educating plan participants. Experienced guidance can help.

## ESOP Advisory services

### Pre-sale wealth planning

 **Creating value**

- Customized cash flow and tax modeling
- Introductions to qualified transaction advisors, including experienced investment bankers
- Estate and trust strategies
- Philanthropic planning strategies
- Insurance solutions

### Post-sale wealth and financial planning

 **Life after business**

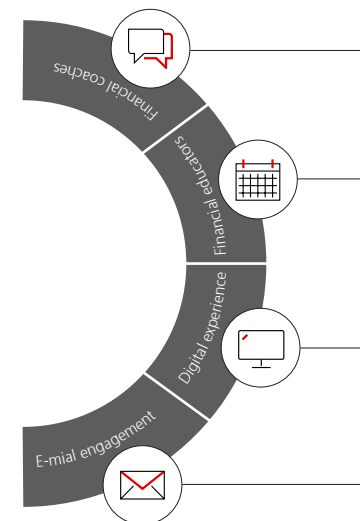
- Internal Revenue Code Section 1042 rollovers
- Post-liquidity lifestyle and financial planning
- Family education and governance
- Personalized investment management



We provide periodic monitoring of advisory accounts in accordance with the client's agreements with UBS. Unless separately agreed in writing, UBS does not manage client's brokerage account, and clients make the ultimate decision regarding the purchase or sale of investments.

## UBS Financial wellness program

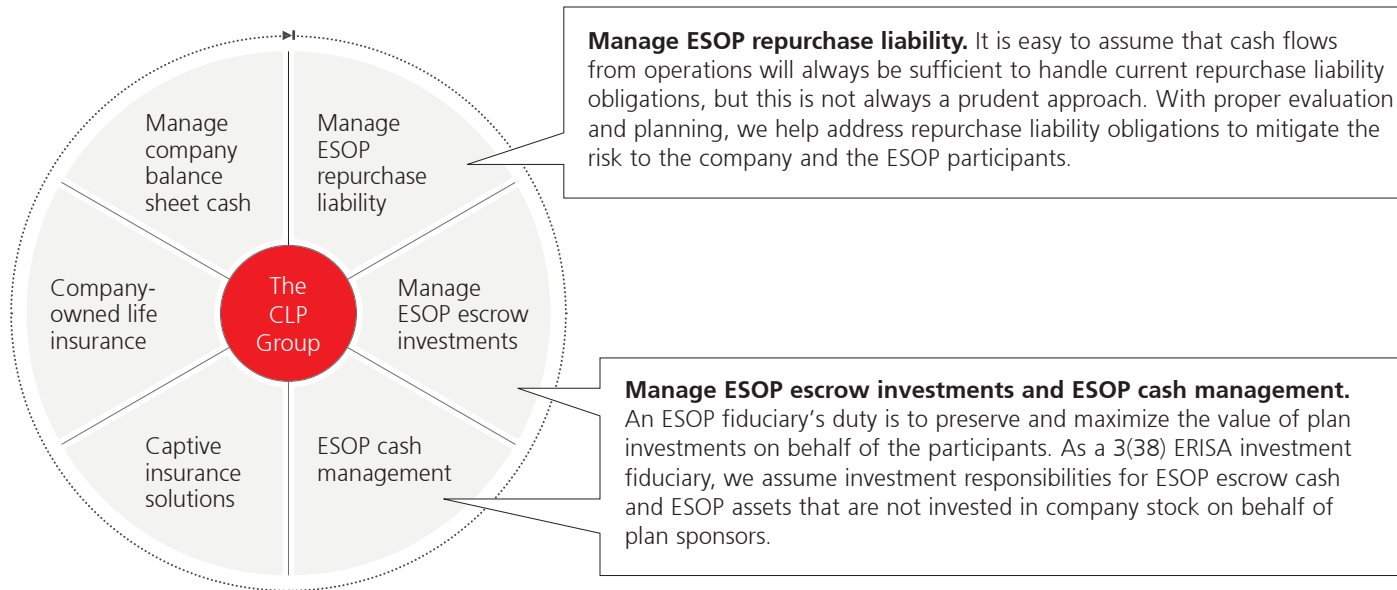
Our entire program is centered around a human touch from licensed financial coaches who give actionable tips and a clear financial roadmap to each of your employees.



- Access to UBS Financial Coaches
- "Live" days consisting of seminars or webinar presentations
- Helping your employees tackle student debt

## For ESOP companies

The CLP Group's experience working with privately held businesses positions us as industry leaders. From mature ESOPs to those just getting started, we are well-versed in the unique issues ESOP companies face.



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The CLP Group works in conjunction with the wealth management professionals at Princeton/Park Avenue Investment Consulting to provide comprehensive institutional retirement plan strategies.

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