

Our mission is advancing yours

The value of working with a UBS Institutional Consultant

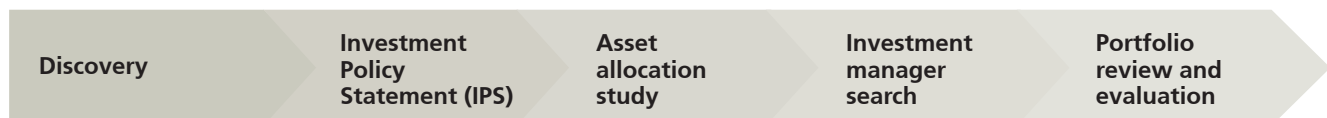
Your organization’s mission comes with its rewards. But it also comes with a set of time-consuming challenges, including fiduciary responsibilities. UBS Institutional Consultants are here to help. Our institutional consultants have the institutional knowledge, global resources and investment solutions to help your organization pursue its financial goals and advance your philanthropic mission.



Personalized service and solutions you deserve

Together, we’ll review everything from your philanthropic mission and decision-making process to your investment philosophy and financial goals. Once we gain a thorough understanding of your investment objectives, we customize a plan that details how to address them.

Our rigorous 5 step process



1 Discovery

- Understand your organization’s needs
- Understand your investment objectives
- Spending policy review

2 Investment Policy Statement (IPS)

- Help you develop a plan based on your investment objectives and risk tolerance
- Perform periodic IPS review and recommendations
- Consider mission-oriented investment constraints

3 Asset allocation study

- Provide advice on portfolio design using UBS intellectual capital, economic forecasts and portfolio modeling
- Advise on strategic asset allocation, tactical positioning and rebalancing strategy

4 Investment manager search

- Identify investments that align with your investment objectives and criteria
- Identify cost-effective investment options

5 Portfolio review, evaluation and education

- Provide market update and outlook
- Illustrate portfolio and current manager performance
- Ongoing education on a variety of topics ranging from portfolio design to general financial and market concepts



We act as your investment fiduciary

We realize managing your investment fiduciary responsibility is one of your greatest concerns and can be a time-consuming burden. UBS Institutional Consultants know how to help you meet your obligations. We will work with you to:

- Help you develop an investment policy statement tailored to your organization’s mission
- Identify investments aligned with the parameters of the IPS
- Promote communication and provide board/committee education



Investment oversight you can rely on

Whether you choose our traditional consulting or discretionary services, both provide a customized investment package created specifically with your organization in mind.

Our open architecture platform includes access to UBS thought leadership. So you can easily uncover useful insights about the Environmental, Social and Government (ESG) and Socially Responsible Investing (SRI) space.



We are a global philanthropic leader

Best Services for Philanthropy and Social Impact Investing. UBS AG, *Euromoney* 2022, 2021, 2020, 2019, 2018, 2017, 2016, 2015*

The *Euromoney* Private Banking and Wealth Management Surveys are based on information from Q4 of the prior year. UBS paid a license fee for use of the rating.



Take advantage of our expertise

As part of UBS, you have access to the experience and knowledge only a global leader can provide.

Once we understand your goals, we combine our deep knowledge, resources and thought leadership to develop an investment plan tailored to your organization.



Speak with a UBS Institutional Consultant to discuss how we can help your nonprofit address its financial challenges.

UBS Institutional Consulting Program is an investment advisory program. Details regarding the program including fees, services, features and suitability are provided in the Form ADV Disclosure, available from your Financial Advisor.

As a firm providing wealth management services to clients, UBS Financial Services Inc. offers investment advisory services in its capacity as an SEC-registered investment adviser and brokerage services in its capacity as an SEC-registered broker-dealer. Investment advisory services and brokerage services are separate and distinct, differ in material ways and are governed by different laws and separate arrangements. It is important that you understand the ways in which we conduct business, and that you carefully read the agreements and disclosures that we provide to you about the products or services we offer. For more information, please review the client relationship summary provided at ubs.com/relationshipsummary.

UBS does not provide legal, tax or actuarial advice. You will be responsible for ensuring that your investment policy statement and other legal documents comply with legal or other regulations or requirements that apply to you. You should consult with your legal and tax advisors regarding those matters. While UBS can identify investment funds from an extensive list of options, our investment searches are limited to those for which the firm has conducted a review.

An increasing number of products and services are using terms or labels related to sustainable investments. However, industry standards and terminology related to sustainable investments will differ and are evolving.

At UBS Financial Services Inc. we serve as a distributor of sustainable investment strategies. We believe sustainable investment strategies should have an explicit focus on sustainability objectives or outcomes. Sustainable investments across geographies and styles approach the integration of Environmental, Social and Governance (ESG) factors and other sustainability considerations and incorporate the findings in a variety of ways. Sustainable investing-related strategies may or may not result in favorable investment performance and the strategy may forego favorable market opportunities in order to adhere to sustainable investing-related strategies or mandates. In addition, there is no guarantee that a product’s sustainable investing-related strategy will be successful. Because of the variety of approaches and different standards for sustainable investing, you should carefully review the offering materials to determine if a particular strategy aligns with your goals and objective. Also speak with your UBS Financial Services Inc. Financial Advisor regarding our approach to sustainable investing and the products we have reviewed for consistency with our standards.

* For more information on third-party rating methodologies, please visit ubs.com/us/en/designation-disclosures.

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